



113 Reg complete
D' Alessandro
3-20-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Indication of: Khan et al.

Serial No.: 09/966,563

Filing Date: 09/27/2001

Art Unit: 2812

Docket No.: SETI-0001

Title: METHOD OF PRODUCING NITRIDE-BASED HETEROSTRUCTURE
DEVICES

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

The filing receipt in the above-identified patent application contains an error. Under the heading Applicant(s), kindly change "Mohammad Asif Khan" to --Muhammad Asif Khan--.

Provided is a copy of the Filing Receipt with the change noted.

It is respectfully requested that a new Filing Receipt be forwarded to the undersigned upon fulfillment of the above.

Respectfully submitted,

John W. LaBatt
Reg. No. 48,301

Date: 10/30/01

Hoffman, Warnick & D'Alessandro LLC
Three E-Comm Square
Albany, New York 12207
Phone: (518) 449-0044

TC 2800 MAIL ROOM

RECEIVED
FEB - 8 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/966,563	09/27/2001	2812	355	SETI-0001	5	19	3

CONFIRMATION NO. 5265

23550
 HOFFMAN WARNICK & D'ALESSANDRO, LLC
 3 E-COMM SQUARE
 ALBANY, NY 12207

FILING RECEIPT



OC00000006972481

Date Mailed: 10/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Muhammad

Mohammad Asif Khan, Irmo, SC;
 Remigijus Gaska, Latham, NY;
 Michael Shur, Latham, NY;
 Jinwei Yang, Lexington, SC;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/235,565 09/27/2000

Foreign Applications

If Required, Foreign Filing License Granted 10/25/2001

Projected Publication Date: 03/28/2002

Non-Publication Request: No

Early Publication Request: No

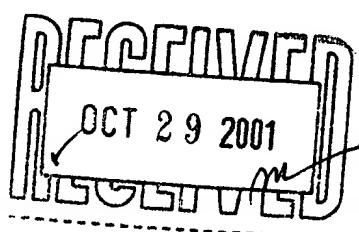
** SMALL ENTITY **

Title

Method of producing nitride-based heterostructure devices

Preliminary Class

RECEIVED
 FEB - 8 2002
 TC 2800 MAIL ROOM



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

- 1 This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).